

The 5 Minute Review Session

- 1) What is coordination? Which coordination mechanisms do you know?
- 2) What is mutual exclusion?
- 3) What is deadlock? What is livelock?
- 4) What is a conditional critical region? What is a monitor?
- 5) What does Java's synchronized modifier mean?

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Coordination in POSIX

Reliable? Flexible? Fast? **Signals** Sometimes Very No Very (w/ shared memory) Yes **Semaphores** Very **Messages** (pipes, fifos, msg queues) Not particularly Yes Not very **Shared Memory** Yes Very Very (w/ semaphores)

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- Signals:
 - An asynchronous event handling mechanism
 - Can also be used as a low-bandwidth communication means
 - Treated in more detail later
- Semaphores
 - Can be used to synchronize access to shared memory
 - However, can also be used as a low-bandwidth communication mechanism in itself
 - **POSIX.1b** provides counting semaphore
 - See Lecture 19

Where are we?

- POSIX messages
 - > Pipes
 - ➤ Fifos
 - > Msg queues
- POSIX shared memory

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POSIX.1 Messages – Pipes and FIFOs

- An *indirect* communication means
- A pipe consists of *two file descriptors*
 - > These are the writing and the reading end
 - Can use standard read and write with these file descriptors (fds)
 - File descriptors remain open in processes created via fork and exit – thus allowing inter-process communication

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Pipes and FIFOs cont.

• Pipes/FIFOs are more appropriate than signals as a communication channel

> Do not require handler functions, masking, etc.

- Data are queued internally
- Can be *synchronous* or *asynchronous* Switch via O_NONBLOCK flag in file descriptor

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Plumbing Hints for Pipes

- The following is a sequence of steps for setting up pipes between processes:
 - 1) Call **pipe** to create the pipe
 - 2) Call **fork** both child and parent now have access to the pipe
 - 3) As the child process will exec a new process with its own memory image (which does not include the fds of the pipe), will have to duplicate the fds into known locations (in the example, MY_PIPE_READ and MY_PIPE_WRITE). We can use dup2 for that purpose; but first, close the new fds to make sure that they are available
 - 4) The child calls **exec**

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Example of POSIX Pipes

• Server process communicates to terminals via pipes

```
int pipe_ends[2];
// Create a new client process
PIPE(pipe_ends);
child = fork();
if (child) {
 // Parent process
 break;
} else {
 // Child process
 // Make the pipe ends be fds MY_PIPE_READ and MY_PIPE_WRITE
 CLOSE(MY_PIPE_READ);
 CLOSE(MY_PIPE_WRITE);
 DUP2(pipe_ends[0], MY_PIPE_READ);
 DUP2(pipe_ends[1], MY_PIPE_WRITE);
 CLOSE(pipe_ends[0]);
 CLOSE(pipe_ends[1]);
 EXECLP("terminal", arg1, arg2, ..., NULL);
}
```

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Limitations of Pipes I

- Pipes are used for communication in a *"pipelined" manner*
 - This corresponds to familiar shell syntax:
 % prog1 | prog2 | prog3 | prog4
 - > Can alter this linear topology using **dup2** and **close**
- Pipes are only *one-directional*
 - Need two pipes for bidirectional communication
- Using pipes for inter-process communicaton requires a *child-parent relationship* between the communicating processes
 - > What if multiple clients want to communicate with multiple servers?

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FIFOs

- A *FIFO* is a pipe that *has a name in the file system*
- Any process with the appropriate permissions can access the pipe
- This removes the restrictions on the topology of communicating processes
- FIFOs are created with **mkfifo**
- FIFOs are opened with **open**
 - > This now (unlike pipe) returns only one file descriptor
 - > Opening with O_RDONLY returns the reading end
 - > Opening with O_WRONLY returns the writing end
 - Results of opening with O_RDWR are undefined

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Limitations of Pipes II

• Prioritization

- A pipe is strictly FIFO
- There is no built-in means for message prioritization
- Could prioritize at application level but this would require significant overhead

• Asynchronicity

- In principle, do not have to wait for somebody reading what we have written if we use O_NONBLOCK
- However, there is only limited buffer space when this fills up, the writer process starts blocking again
- There is no portable way to control (or just know) the amount of buffer space for a given pipe!

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Limitations of Pipes III

• Lack of control over pipe structure

Pipes cannot provide any information about their state – i.e., how many data have been written into a pipe

• Lack of structure of the data stream

Pipes are fairly pure instances of the (very powerful) UNIX file abstraction; a pipe is nothing more than a stream of bytes

> Can read or write an arbitrary number of bytes at a time

+This can be problematic if variable-sized messages are passed

+A single offset error can corrupt all subsequent messages

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System V UNIX Message Queues

- System V UNIX provides message queues that provide inter-procedure calls (IPCs)
- However, are *clumsy* to use:

Named by numbers, rather than strings

> ipcs and ipcrm maintain separate "namespace"

- Are *very slow*
- Hence, POSIX.1b working group abandoned System V message queues (as well as e.g. its semaphores and shared memory) in favor of something new

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POSIX Message Queues

 POSIX message MQs) are name pipes do – howe 	e queues (henceforth abbreviated d objects which operate basically a ever:	as		
→ An MQ can ha	ve <i>many readers</i> and <i>many writers</i>			
Priority may be associated with messages				
• Intended for conthreads)	mmunication between processes (n	ıot		
 Early draft prop Allowed for excopying (instead address space) 	osals were very flexible ample the passing of messages without ad just mapping from address space to	ţ		
 However, the r implementation 	resulting complexity lead to very slow			
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POSIX MQs

- The final definition of MQs leans more toward simplicity
- MQs have attributes which indicate their maximum size, the size of each message, the number of messages currently queued etc.
- An attribute object is used to set the queue attributes when the MQ is created

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POSIX MQs

- MQs are given a name when they are created
- To gain access to the queue, requires an mq_open name
- mq_open is used to both create and open an already existing MQ



Naming and Accessing MQs

- MQs are named like files, and opening them does look a lot like opening a file
- However, unlike FIFOs and pipes, message queues are not accessed using open, read and write; instead, sending and receiving messages is done via mq_send and mq_receive

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MQ Naming cont.

- Naming MQs like files allows vendors to implement message queues using the UNIX file system
- However, the system calls associated with the file system have a significant *overhead*
- Therefore, MQs are often implemented w/o accessing the file system try **ls** to find out!
- *Recall*: UNIX pathnames may be
 absolute: beginning with a slash ("/")
 - relative to the current working directory: without leading /
- Whether or not MQs are implemented using the file system may *affect portability*

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MQ Naming cont.

• Example I:

- > Assume the current working directory is /home/joe
- Assume that we create MQs named "/home/joe/my_mq" and "my_mq"
- An implementation using the file system treats these MQs as identical
- > Otherwise, the MQs are treated as being different

• Example II:

- > Assume that different processes in different working directories each create MQs named "my_mq"
- An implementation using the file system treats these MQs as different
- > Otherwise, the MQs are identical

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- An implementation may interpret a slash as a special character, separating components as directories, and performing proper permission checks
- Other implementations may treat slashes like any other characters, and use the whole name just as a hash key
- The conformance document of the product should tell you what is actually happening on your system

POSIX MQs

- Data are read/written from/to a character buffer.
- If the buffer is full or empty, the sending/receiving process is blocked unless the attribute
 O_NONBLOCK has been set for the queue (in which case an *error return* is given)
- If senders and receivers are waiting when an MQ becomes unblocked, it is not specified which one is woken up unless the *priority scheduling* option is specified

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POSIX MQs

- A process can also indicate that a signal should be sent to it when an empty queue receives a message and there are no waiting receivers
- In this way, a process can *continue executing* whilst waiting for messages to arrive or one or more message queues
- It is also possible for a process to wait for a *signal* to arrive; this allows the equivalent of *selective waiting* to be implemented
- If the process is multi-threaded, each thread is considered to be a potential sender/receiver in its own right

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Robot Arm Example I

```
typedef enum {xplane, yplane, zplane} dimension;
void move_arm(int D, int P);
#define DEFAULT_NBYTES 4
int nbytes = DEFAULT_NBYTES;
#define MQ_XPLANE "/mq_xplane" // MQ name
#define MQ_YPLANE "/mq_yplane" // MQ name
#define MQ_ZPLANE "/mq_zplane" // MQ name
#define MODE ... // Mode info for mq_open
```

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Robot Arm Example II

```
void controller(dimension dim) {
  int position, setting;
                      // Message queue
 mqd_t my_queue;
  struct mq_attr ma; // Attributes
       buf[DEFAULT_NBYTES];
  char
  ssiz_t len;
 position = 0;
  switch(dim) {
                      // open appropriate message queue
   case xplane:
     my_queue = MQ_OPEN(MQ_XPLANE, O_RDONLY, MODE, &ma);
     break;
   case yplane:
     my_queue = MQ_OPEN(MQ_YPLANE,...);
     break;
   case zplane:
     my_queue = MQ_OPEN(MQ_ZPLANE,...);
     break;
   default:
     return;
  };
```

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Robot Arm Example III

```
while (1) {
    // read message
    len = mq_receive(my_queue, &buf[0], nbytes, null);
    setting = *((int *)(&buf[0]));
    position = position + setting;
    move_arm(dim, position);
  };
}
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```

Robot Arm Example IV

• The main program that creates the controller processes and passes them the appropriate coordinates:

```
int main(int argc, char **argv) {
  mqd_t mq_xplane, mq_yplane, mq_zplane;
  struct mq_attr ma; // queue attributes
  int xpid, ypid, zpid;
  char buf[DEFAULT_NBYTES];

  // Set MQ attributes
  ma.mq_flags = 0; // No special behaviour
  ma.mq_maxmsg = 1;
  ma.mq_msgsize = nbytes;

  mq_xplane = MQ_OPEN(MQ_XPLANE, O_CREAT|O_EXCL, MODE, &ma);
  mq_yplane = ...;
  mq_zplane = ...;
```

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Robot Arm Example V



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Closing and Unlinking MQs

- If we can open MQs, we must be able to close them again
- Similarly, if we can create MQs, we must be able to delete them again
- The functions mq_close and mq_unlink provide these functionalities, mimicking their corresponding file-based calls, close and unlink

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Closing MQs

- Calling mq_close has no effect on the contents of the MQ
 - We can open an MQ, send ten messages to the queue, close the queue, open it again the next day, and retrieve those ten messages again
- MQs are closed when the process creating them calls **exit**, **__exit**, or one of the **exec** functions
- This is somewhat different from files, where a file can be prevented from being closed upon calling an exec function (by clearing the **FD_CLOEXEC** flag, using **fcntl**)

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Unlinking MQs

- Calling mq_unlink does for message queues what unlink does for files
- If no one has the MQ open when mq_unlink is called, then the MQ is immediately deleted, and all the messages in it are lost
- Otherwise, the destruction of the MQ is delayed until the last processes closes the MQ
 - > However, the name of the MQ is removed immediately
 - > After mq_unlink is called, the only processes that can access the MQ are the ones that had it open before mq_unlink was called
 - > Then again, if those processes fork, their children can also access the MQ, as MQs are inherited across fork, like files

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Cleaning Up After Yourself

- Message queues, like files, are *persistent*
 - ▹ they continue to exist even if no one has the queue open
 - they are not eliminated when the process creating them ceases to exist
 - ➤ they are only eliminated when the system goes down
- However, as message queues do not necessarily exist in the file space, we may not be able to remove the message queues – *there is no POSIX equivalent of rm for MQs!*

• Therefore, unless we still want those MQs for debugging purposes, it is recommended to *unlink the MQs as soon as everybody who needs them has opened them*

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Limitations of POSIX MQs

MQs have some definite advantages over pipes and FIFOs, but some limitations remain:

• They *are* queues after all

This may be clumsy for some apps

Examples: stack-based operations; general data sharing

• Efficiency

MQs always require two copy operations – from the sending app to the OS, from the OS to the receiving app

It would be faster to let the OS decide about the target memory location – but this is outside of POSIX.1b

• An alternative here is *shared memory*

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Where are we?

- POSIX messages
- **POSIX** shared memory
 - > Naming, opening
 - > Memory mapping
 - > Aligning
 - > For regular files: synchronization

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Shared Memory

- Very low-level means of communication
- **Pro**: Flexibility, Speed

• *Con*:

- More difficult to use than signals or message queues access has to be *explicitly synchronized* (e.g. with semaphores, mutexes, condition variables)
- Unlikely to perform well in a distributed environment (unless we have an architecture that explicitly supports distributed shared memory)
- **POSIX.1b** defines a shared memory interface

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POSIX Shared Memory

- Per default, different processes operate on different pieces of memory
- POSIX.1b defines a mechanism that allows processors to share memory
- If one process writes a value into a particular byte of shared memory, the other process sees this *"immediately"*
 - On *multiprocessor systems* ruled outside of the scope of POSIX.1b considerations – the actual delay depends on the underlying hardware memory coherence system

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Complications with Shared Memory

- The OS is not involved in your use of this memory there are no run-time checks, no copy operations
- The free-form nature of shared memory allows flexibility and efficiency *and also gives you the freedom to hang yourself pretty badly!*
- *Example*: implementing a circular linked list in shared memory

```
dequeue(element *e)
{
    e->prev->next = e->next;
    e->next->prev = e->prev;
    e->next = e->prev = NULL;
}
```

What may happen if two processors dequeue at once?

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More Complications: File Mapping

- Another complications is related to how POSIX.1b standardized shared memory which is intertwined with another facility: *file mapping*
- *File mapping* allows an app to map a file into its address space and then access it as if it were memory
- File mapping works for *any* type of file:
 - Disk files
 - Frame buffers
 - ➤ ... and shared memory
- This complicates the shared memory interface somewhat, as file mapping and shared memory have somewhat diverging requirements

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What does My System Provide?

• In principle, an OS indicates the presence of shared memory by defining ______OBJECTS

- Shared memory requires file mapping but a vendor may be interested in just file mapping, without providing shared memory
- Hence, the following feature test macro zoo:

Function	Present According to Which Options?	
mmap, munmap, ftruncate	_POSIX_MAPPED_FILES or	
	_POSIX_SHARED_MEMORY_OBJECTS	
<pre>shm_open, shm_close,</pre>		
shm_unlink	_POSIX_SHARED_MEMORY_OBJECTS	
mprotect	_POSIX_MEMORY_PROTECTION	
msync	_POSIX_MAPPED_FILES and	
	_POSIX_SYNCHRONIZED_io	
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Memory is a File ... Sort Of

- Shared memory, message queues, and semaphores are all cousins in the POSIX world and have interfaces similar to ordinary UNIX disk files
 - Similar naming
 - Similar schemes for creation and deletion, opening and closing
- However, each interface differs according to the specific requirements of the functionalities
- Message queues are the simplest of the lot open the queue, send a message
- Shared memory requires file mapping as additional step R. v. Hanxleden

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Naming SMOs

- **shm_open** operates on a name that looks like a file name, but *may not exist in the file system*
- Like message queues, SMOs may not show up in the output of an **ls**
- For *portability*, thus the same naming rules apply as for message queues (recall ...)
- Even though **shm_open** returns a normal file descriptor, we *cannot use most standard file operations* (read, write, lseek)
- **Exceptions:** ftruncate (which set the size) and close can both be used on shared memory objects

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Creating SMOs

- The **oflag** parameter of **shm_open** can be set to **O_RDONLY** or **O_RDWR** to indicate the desired access types
- oflag also determines whether an SMO is created, by setting O_CREAT
- If we try to create an SMO that already exists, shm_open fails silently – unless we also set
 O_EXCL
- Unlike message queues, *no additional parameters* are needed to describe the newly created SMO – the relevant parameters are set when the SMO is mapped into your address space, using map R. v. Hanxleden SS 2002-Real-Time Systems Programming - Lecture_21.sdd Foil 42

Sizing SMOs

- However, there is one aspect of SMOs that neither **shm_open** nor **mmap** address: the size of the SMO
- An SMO has zero size when it is first created, or when somebody opens it with the O_TRUNC flag set
- POSIX.1b provides the (confusingly named) ftruncate for changing an SMO's size:

```
#include <unistd.h>
int ftruncate(int fd, off_t total_size);
```

- If an existing SMO is reduced in size, the truncated data are lost
- If one process calls ftruncate, all processes see this

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```
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```

Closing SMOs

- If we open something, we have to be able to close it again
- However, as **shm_open** returns a normal file descriptor, and there is no other information needed for closing a file, we can use the normal **close** operation for closing an SMO

```
#include <sys/mman.h>
int fd; // File descriptor for SMO
int i;
fd = shm_open("/shared_memory", ...);
...
i = close(fd);
```

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Closing SMOs cont.

Recall that creating SMOs is a two-step procedure:
> The SMO has to be opened (shm_open)

> The SMO has to be mapped into memory (mmap)

- close *only undoes the first of these two steps*, it recycles the file descriptor
- Once the memory is mapped in, one can safely close the file descriptor in fact, one should do so for tidiness

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- If any processes are still using the SMO when it is unlinked, then those instances of the SMO remain viable until each individual process ceases to use the SMO – each process has to close, and also munmap, their shared memory before it truly ceases to exist
- exit and exec implicitly close SMOs

Mapping SMOs Into Memory

 To get the SMO into the address space of a process, one has to map the file descriptor returned by shm_open into the processes address space using mmap

<pre>#include <sys mman.h=""></sys></pre>			
void *mma	p(void size_t int int int off_t	<pre>*where_i_want_it, length, memory_protections, mapping_flags, fd, offset_within_shared_memory);</pre>	

• **mmap** returns the address where the SMO is actually placed

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Where the SMOs Will Be Placed The first parameter to mmap, where_i_want_it, is an address that we can pass in as a hint to the system on where in memory the SMO shall be placed However, a POSIX compliant system is not obliged to obey this hint If we want to insist on the hint being used, we can set MAP_FIXED in the mapping flags If the system is still unable to use the hint, mmap fails Implementations do not have to support MAP_FIXED

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Aligning SMOs Among Processes

• Sometimes we do not really care where in memory the SMO is placed – but we do care about different processes having the SMO mapped to the same addresses

For example, to share pointers among processes

- One fairly portable way of aligning SMO objects in the memory areas of different processes is the following:
 - > When the first maps in the SMO, let the system choose the address, by giving a hint of zero
 - Then communicate the resulting address to the other processes, and let the other processes use this address as a hint

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Where the System Places SMOs

- Usually, the SMOs have to start at a *page boundary*
- Typical page sizes are 4096 and 8192 check **PAGESIZE** to find the page granularity of your system
- For portable use of **mmap**, one has to make sure that any specified address hint, as well as the file offset and length, are multiples of **PAGESIZE**
 - Some systems check that this is the case
 - > Others perform *silent alignment!*

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An Example of Silent Alignment

- Assume the following scenario:
 - \blacktriangleright pagesize = 4096
 - > length = 5
 - \succ offset_within_shared_memory = 10

• **mmap** returns a pointer equal to 5 mod 4096 (5001, 8197, ...) - say it returns 5001

Then the address range 5001 ... 5010 constitutes our shared memory

However, the remaining address ranges of that page – 4096 ... 5000 and 5011 ... 8191 – are now also shared memory!

• Any writes to these remaining ranges, outside of what we asked for, are also visible to all processes!!

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Unmapping Shared Memory

Recall: once an SMO is mmaped, we can close the file descriptor, and still retain the mapping
Mappings are inherited across a fork
Mappings are removed upon exit or exec
munmap explicitly unmaps SMOs
#include <sys/mman.h>

int munmap(void *begin, size_t length);

munmap removes the mappings for the pages containing the address rage begin through begin + length - 1

Again, better make sure that begin and length are multiples of PAGESIZE

Shared Memory Persistence

- Like message queues, POSIX.1b shared memory is persistent: it remains around until it is explicitly removed (or until the system shuts down)
- Therefore, we can fill an SMO with data, unmap the SMO, finish all processes, start a process again, and retrieve those data again (⇒ Homework)
- This persistence also means that we have to be careful about the SMO contents when we first open them, as they may already contain some (invalid) data
- It is therefore prudent to first unlink and then recreate SMOs before using them

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Backing Store

• <i>Recall:</i> mmap can be used for any file object – shared
memory for example, but also physical files
We can refer to the underlying object that we have mapped in as <i>backing store</i>
The memory range in which we have mapped the file object is merely a <i>shadow image</i> of that backing store
• In the case of SMOs, the shadow image and the backing store are one and the same: the physical memory to share information
• With disk files, however, there is a dichotomy – at any point in time, the backing store and its shadow image do not have to be identical, and they have very

different access times

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For Regular Files: Synchronization

The contents of the backing store and our shadow image of it are only guaranteed to be identical
> when the mappings are removed (munmap), or

➤ when we explicitly synchronize the mapping

```
#include <unistd.h>
• msync performs
                            #ifdef _POSIX_MEMORY_PROTECTION
  this synchronization:
                            #ifdef _POSIX_SYNCHRONIZED_IO
                            #include <sys/mman.h>
                            void
                                 *begin;
                            size_t
                                     length;
                            int
                                     i, flags;
                            i = msync(begin, length, flags);
                            #endif
                            #endif
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                                                              Foil 55
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```

Summary POSIX Pipes and FIFOs

- The main communication categories provided by *POSIX* are signals, messages, and shared memory/semaphores
- **POSIX** message types are pipes, FIFOs, and message queues
- *FIFOs* are basically *pipes* with a name in the file system they are thus better suited for non-pipelined topologies than pipes
- However, both pipes and FIFOs are limited wrt
 - > Prioritization (cannot assign priorities)
 - Asynchronicity (no control over buffer space)
 - Interrogability (cannot inquire about internal state)
 - Message *structure* (just a stream of bytes)

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Summary POSIX Message Queues I

- *POSIX* message queues (MQs) allow *asynchronous*, *many to many* communication, that also allows the definition of *priorities*
- The handling of MQs looks very much like the handling of files
- MQs may or may not be implemented using the UNIX file system
- Care must be taken with *naming MQs* in a portable fashion

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Summary POSIX Message Queues II

- MQs are *persistent*
 - The application has to pay attention to cleaning up after itself
 - > There is no MQ-equivalent to what **rm** does for files
 - By themselves, MQs only go away when the system goes down
- Overall, MQs give more *structure and control* than pipes and FIFOs, and they can easily be extended across machine boundaries
- However, MQs have some limitations as well
 - > Even with priorities, MQs are still queues
 - The run-time overhead of the OS calls and copy operations

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Summary Shared Memory

- Shared memory is a low-level, bare bones means of exchanging information between processes
- Shared memory is very *efficient*, but has to be handled with care – i.e., *explicit synchronization* (semaphores, mutexes, etc.)
- Using shared memory is a two-stage process:
 - Creation of the shared memory object (SMO)
 - Mapping the SMO into the processes memory
- Like MQs, SMOs are *persistent*
- SMOs are always aligned to page boundaries we should set lengths and offsets accordingly, to avoid implicit alignments

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